



## **OmniVita Custom Wealth Management Inc. Unclaimed Property Notice**

The Province of British Columbia has enacted legislation regarding the monitoring, reporting, and remittance of unclaimed property. As a securities dealer, OmniVita Custom Wealth Management Inc. ("OmniVita") is subject to these regulations.

### **Application of Regulations**

The British Columbia Unclaimed Property Act applies to a holder of property if the last known address of the owner is in British Columbia, and if the client's account was opened or the transaction from which the property ownership was established occurred after July 1, 2000. Those seeking information with respect to accounts opened prior to these dates or accounts of non-British Columbia residents should contact OmniVita's Compliance Department at 778-373-8087 or toll free at 1-800-970-2869.

### **Triggering Events**

Triggering events include circumstances in relation to an amount greater than \$50 where: (i) a client's mail is returned to OmniVita; (ii) a client's cheque remains un-cashed and outstanding three years after the date of issue; (iii) a client has failed to respond, by the required date, to a requirement to take a certain action by a given date; (iv) a period of three years has passed without a client indicating an interest in property; or (v) a period of five years has passed since the date distribution from an RSP or RIF account became mandatory without the occurrence of the client increasing or decreasing the principal of the account, the client accepted payment of principal or interest from the RSP or RIF, and/or receipt by OmniVita of an indication of the client's interest in the property.

For the purposes of the triggering events described above, "an indication of interest in property" is considered when a client provides instructions for a trade, confirms or updates investor profile information, makes an inquiry, or confirms an interest with respect to an account to an OmniVita employee.

### **Action to Locate Clients**

Once a triggering event has occurred, OmniVita will record any relevant information relating to the event. OmniVita will use reasonable efforts to locate the client for a period of six months. Reasonable efforts include: (i) using online open-source resources to locate the client; (ii) reviewing other OmniVita accounts related or connected to the client that may have different contact details; and/or (iii) attempting to contact the client by telephone and e-mail at the client's last known telephone number or e-mail address, as applicable.

If the client has not been located within 12 months of the triggering event, the property will be designated as unclaimed.



### **Public Disclosure**

This webpage is the public notice of the availability of information and OmniVita's processes with respect to unclaimed property.

Questions related to unclaimed property can then be made in writing to:

OmniVita Custom Wealth Management Inc.  
Attention: Compliance Department - Unclaimed Property  
Suite 1630 – 701 West Georgia St.  
Vancouver, British Columbia  
V7Y 1K8

Email: [info@omnivitawealth.com](mailto:info@omnivitawealth.com)

### **Proof of Claims**

All claimants will be required to provide appropriate documentation and adequate identification to validate their status as an owner, heir, or assignee. All claimants will be required to complete an indemnity agreement prior to the release of any unclaimed property funds.

*The procedures above apply to all residents of British Columbia.*